

## Virtual AskQC Office Hours

Thank you for joining us!  
We will begin at 1:00 PM Eastern

Attendees are in listen-only mode

[AskQC@oclc.org](mailto:AskQC@oclc.org) (Ask Quality Control) is the longstanding email address to which catalogers can send questions to OCLC Metadata Quality staff about cataloging policies, standards, and practices.



February 13, 2019

# Virtual AskQC Office Hours

## Provider-Neutral Cataloging

**OCLC Metadata Quality**



## Housekeeping

- After the session you will be directed to a quick, optional survey

Virtual AskQC Office Hours  
feedback survey

Please take a moment to provide feedback on today's office hour session. The responses are for informational purposes only and optional. Thank you for attending today's session!

1. Did you find today's session useful?

Yes  
 No  
 Sort of

2. Why did you choose the answer you did in question 1?

Enter your answer

3. Are there topics you would like us to cover in the future?

Enter your answer

# Housekeeping

- After the session you will be directed to a quick, optional survey
- All session recordings, slides, and notes are available at [oc.lc/askqc](http://oc.lc/askqc)

The screenshot shows the AskQC website interface. At the top, there is a search bar and navigation links for 'Home', 'About Us', 'Products', and 'Services'. Below the search bar, the text reads 'AskQC' and 'Last updated: Dec 7, 2019'. A sub-header states 'Find AskQC office hour information, recordings, and supporting materials.' The main content area features a section titled 'AskQC office hours' with a sub-section 'Time and call-in information for AskQC office hours'. Below this is a table with columns for 'TOPIC', 'DATE/TIME DATES/TIME', 'TOLL-FREE CALL-IN NUMBER', and 'REGISTRATION LINK'. The table lists three office hours sessions for November 12, 13, and 14, 2019, each with a toll-free call-in number and a registration link.

TOPIC	DATE/TIME DATES/TIME	TOLL-FREE CALL-IN NUMBER	REGISTRATION LINK
Hour: Volunteer Query	Wednesday, November 12, 2019 1 PM Eastern Standard Time	1-800-855-8550 URL: <a href="http://oc.lc/askqc/117">oc.lc/askqc/117</a> • Global call-in numbers • Toll-free dialing instructions	Register
Hour: Record and Transcript of Learning	Wednesday, November 13, 2019 1 PM Eastern Standard Time	1-800-855-8550 URL: <a href="http://oc.lc/askqc/117">oc.lc/askqc/117</a> • Global call-in numbers • Toll-free dialing instructions	Register
How the OCLC MARC update process works	Wednesday, November 14, 2019 1 PM Eastern Standard Time	1-800-855-8550 URL: <a href="http://oc.lc/askqc/117">oc.lc/askqc/117</a> • Global call-in numbers	Register

## On the call today



Nathan Putnam  
Director,  
Metadata Quality



Robert Bremer  
Senior Consulting  
Database  
Specialist



Laura Ramsey  
Section Manager,  
WorldCat Quality



Jay Weitz  
Senior Consulting  
Database  
Specialist



Patty Treboni  
Database  
Specialist II



Cynthia Whitacre  
Manager,  
Metadata Policy

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# PROVIDER-NEUTRAL CATALOGING

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## Provider-Neutral Cataloging—Introduction

- P-N model uses a single bibliographic record to describe all instances of the same manifestation of a resource, regardless of which content publisher, aggregator, or provider is making the manifestation available
- P-N records function as “base” record in the shared cataloging environment omitting provider-specific details
- If additional cataloging details are needed, they can be added locally or for WMS institutions added in LBD records

## Provider-Neutral Cataloging—Introduction

- Practical solution to cataloging proliferating online resources, photocopies, and print-on-demand reproductions
- Provisions of P-N cataloging guidelines often conflict with AACR2 and RDA instructions, yet they are routinely used in conjunction with each other
- P-N cataloging guidelines were first developed for cataloging online resources



## **Provider-Neutral Cataloging—Online Resources**

- P-N cataloging of online serials began in CONSER in 2003 after previous experiments ranging from cataloging just the publisher's instance of the online serial to creating multiple records for an online serial as available on different websites
- P-N cataloging of online textual monographs began in 2009 and online resources in all other formats in 2011

## Provider-Neutral Cataloging—Online Resources

- P-N guidelines cover resources that are simultaneously issued online and in a physical format, online reproductions of resources originally issued in physical format as well as born-digital online resources
- P-N guidelines make no distinctions between these situations making online resources easier to catalog

## Provider-Neutral Cataloging—Online Resources

- P-N cataloging guidelines treat different instances of the same manifestation online at different websites as essentially copies of a single manifestation resulting in one record
- Same resource digitized independently by different providers at different points in time are represented by one P-N record
- Different manifestations of a resource still need to be cataloged on separate bibliographic records

## Provider-Neutral Cataloging—Online Resources

- Base the description on the title page or on the related print version bibliographic record in which case make a note in field 588, e.g., 588 0 Print version record.
- Transcribe the first named place, publisher, and date even if they long pre-date the era of online resources
- Give the extent as “1 online resource” and include paging within parentheses
- Provide a link in field 776 to the related print version resource

## Provider-Neutral Cataloging—Online Resources

- Record the URLs for the various providers using multiple 856 fields
- Prefer “generic” URLs that lead to the resource title page, metadata, etc., over solely local URLs
- Include #3 with a brief name of the provider or collection along with any other material specific information such as volume numbers, e.g.,
  - 856 40 #3 Springer, v. 1 #u  
<http://www.springerprotocols.com/BookToc/doi/10.1385/1592592597>

## Provider-Neutral Cataloging—Online Resources

- What makes P-N records “neutral” is that provider-specific information is omitted. Do **not** include:
  - Providers as publishers or distributors
  - Providers as name access points
  - Provider-based series statements and series access points
  - Information about providers in notes
  - Information about file formats, file sizes, devices, system requirements, access restrictions, and subscription informationHowever, there some exceptions:

## Provider-Neutral Cataloging—Online Resources

- Exception— May include digital preservation fields in P-N records with fields marked with subfield #5:
  - 506 #3 Use copy #f Restrictions unspecified #2 star #5 MiAaHDL
  - 533 Electronic reproduction. #b [Place of publication not identified] : #c HathiTrust Digital Library, #d 2011. #5 MiAaHDL
  - 538 Master and use copy. Digital master created according to Benchmark for Faithful Digital Reproductions of Monographs and Serials, Version 1. Digital Library Federation, December 2002. #u <http://purl.oclc.org/DLF/benchrepro0212> #5 MiAaHDL
  - 583 1 digitized #c 2011 #h HathiTrust Digital Library #l committed to preserve #2 pda #5 MiAaHDL

## Provider-Neutral Cataloging—Online Resources

- P-N guidelines are applicable to all records for online resources in WorldCat cataloged in any language under any cataloging instructions
- P-N guidelines are applicable when cataloging the first instance of a resource online rather than the approach of later “neutralizing” a record when it appears online on a different website
- Code “#e pn” is added in field 040 when P-N guidelines are used



## Provider-Neutral Cataloging—Online Resources

- 006 m o d
- 007 c #b r #d c #e n
- 040 E7B #b eng #e rda #e pn #c E7B
- 100 1 Eltzbacher, Paul, #d 1868-1928.
- 245 10 Totes und lebendes Völkerrecht / #c ...
- 264 1 München : #b Duncker & Humblot, #c 1916.
- 300 1 online resource (79 pages)
- 336 text #b txt #2 rdacontent
- 337 computer #b c #2 rdamedia
- 338 online resource #b cr #2 rdacarrier

## Provider-Neutral Cataloging—Online Resources

- 588 0 [Print version record.](#)
- 776 08 #i Print version: #a Eltzbacher, Paul, 1868-1928. #t Totes und lebendes Völkerrecht. #d München : Duncker & Humblot, 1916 #w (DLC)a 19001533
- 856 40 #3 [ebrary](#) #u <http://site.ebrary.com/id/10768495>
- 856 40 #3 [EBSCOhost](#) #u <http://search.ebscohost.com/login.aspx?direct=true&scope=site&db=nlebk&db=nlabk&AN=643130>
- 856 40 #3 [MyiLibrary](#) #u <http://www.myilibrary.com?id=774506>
- 856 40 #3 [ProQuest Ebook Central](#) #u <http://public.ebookcentral.proquest.com/choice/publicfullrecord.aspx?p=1370321>

### **Provider-Neutral Cataloging—Photocopies and POD Reproductions**

- Similar to previous practice for cataloging photocopy reproductions
- Expanded to cover print-on-demand reproductions
- Describe the original publication and code the fixed-field accordingly

### **Provider-Neutral Cataloging—Photocopies and POD Reproductions**

- Code 040 with “#e pn”
- Code Form: with “r”
- Add “533 Print reproduction” without any other details, i.e., omit the place of publication, the publisher, the date, the physical details, and any series or notes related to the reproduction
- If you find a record for a photocopy or POD reproduction that is not P-N, you may update that record to P-N

## Provider-Neutral Cataloging—Documentation

- **P-N Cataloging of Online Resources:**

<https://www.loc.gov/aba/pcc/scs/documents/PCC-PN-guidelines.html>

or search Google for “PCC Provider-Neutral Cataloging Guidelines”

- **P-N Cataloging of Photocopies and POD Reproductions:**

See LC-PCC PS 1.11

## Questions?



Nathan Putnam  
Director,  
Metadata Quality



Robert Bremer  
Senior Consulting  
Database  
Specialist



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Section Manager,  
WorldCat Quality



Jay Weitz  
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Cynthia Whitacre  
Manager,  
Metadata Policy

**Please submit questions through chat**



## Thank you!

Send cataloging policy questions  
at anytime to:  
[askqc@oclc.org](mailto:askqc@oclc.org)

Session links available at:  
[oclc.org/askqc](https://oclc.org/askqc)

### Next Virtual AskQC Office Hours:

Intro do the Virtual International Authority File  
Wednesday, March 13, 2019  
1:00 PM Eastern  
Register at [oclc.org/askqc](https://oclc.org/askqc)

Because  
what is  
known must  
be shared.®

